

SLGSafe User's Guide

Entering a Time Subscription into SLGSafe

Welcome to SLGSafe®, the online application to help you manage your SLGS portfolio. In this training session, we will examine entering a subscription or buy into SLGSafe. We will discuss what information to include and how to navigate through the screens.

A subscription for a Time Deposit can be made with the SLGSafe system. The minimal amount of information required on the Time Deposit subscription conveys the subscriber's intent to purchase securities. In order to initially subscribe, you must include the following information:

- Subscription Issue Amount
- Issue Date
- Taxpayer Identification Number (TIN)
- Underlying Bond Issue information
- Owner Name
- Trustee ABA number and zip code (include this information if you are not the Trustee bank and the Trustee bank will complete the subscription.)

Document Retention Requirement – Issuers must retain all information necessary to prove compliance with the regulations governing State and Local Government Series securities (31 CFR Part 344) for a minimum of three years following maturity or redemption of a SLGS security.

Please note: The hours of operation for SLGSafe are 8:00 am to 10:00 pm ET, Monday through Friday, except Federal holidays. However, you may only add new subscriptions after 10:00 am when the SLGS Daily Rate Table is available.

In order to gain access to SLGSafe, you must first have completed the SLGSafe application forms (available on our website, www.slg.gov) and received your SLGSafe Logon ID from the Bureau of the Fiscal Service IT Service Desk. At the login screen, enter your Logon ID and password.



Entering a Time Subscription into SLGSafe

File Edit View Favorites Tools Help

Home

SLGSafe
PORTFOLIO
MANAGEMENT
SYSTEM

SLGSafe v0.11.11.0

OMB: No:1535-0092

Home Rate Management Reports Help Contact Us Logout

07/01/2009 02:02 PM EDT

Home

Subscription for Purchase and Issue

- [Time Deposit](#)
- [Demand Deposit](#)

View or Update a Subscription Before Issue Date

- [View Subscriptions](#)

View or Update a Case After Issue Date

- [Case](#)

Redeem Securities

- [Time Deposit Early Redemption Simulation](#)
- [Time Deposit Early Redemption](#)
- [Demand Deposit Redemption](#)

[Freedom of Information Act](#) | [Law & Guidance](#) | [Privacy & Legal Notices](#) | [Website Terms & Conditions](#) | [Accessibility](#) | [Data Quality](#)

Done Local intranet 100%

Notice the large blue tabs at the top of the screen. A HELP tab is available on all screens. At any time, you can click on the Help Tab and a new window with information that applies to the task you are completing will appear.

Choose one of the two options under the heading, Subscription for Purchase and Issue. Those are Time Deposit and Demand Deposit. To learn more about the difference between Time and Demand Deposits, visit our website, www.slg.gov.

Entering a Time Subscription into SLGSafe

File Edit View Favorites Tools Help

TD Subscription for Purchase and Issue - Time Deposit

Home Rate Management Reports Help Contact Us Logout

07/01/2009 02:03 PM EDT

Subscription for Purchase and Issue - Time Deposit

Owner **Trustee** Funds for Purchase ACH Institution ACH Instructions Subscriber Viewer Securities Review

Issue Information

Treasury Case Number Status
Issue Date Issue Amount
Rate Table Date 07/01/2009

State or Local Government Body

Taxpayer Identification Number
Underlying Bond Issue
Owner Name
Address Line 1
Line 2
Line 3
City
State
Zip Code -
Contact Name
Telephone

This is the main page of the subscription where you will enter some of the basic information. Notice that there are several gray tabs above. After you have entered the information on this tab, click on the next gray tab to enter information for that area, and continue until all the information for this subscription is entered.

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Entering a Time Subscription into SLGSafe

File Edit View Favorites Tools Help

TD Subscription for Purchase and Issue - Time Deposit

Home RSS Print Page Tools

Owner Trustee Funds for Purchase ACH Institution ACH Instructions Subscriber Viewer Securities Review

Issue Information

Treasury Case Number Status

Issue Date Issue Amount

Rate Table Date

State or Local Government Body

Taxpayer Identification Number

Underlying Bond Issue

Owner Name

Address Line 1

Line 2

Line 3

City

State

Zip Code -

Contact Name

Telephone

Fax

E-mail

Clear Rate Table Applied Cancel

Local intranet 100%

Enter the Owner information only. Do not put the Trustee or escrow agent's name in the Owner Name box. This box should only contain the tax-exempt entity's name.

Entering a Time Subscription into SLG Safe

File Edit View Favorites Tools Help

TD Subscription for Purchase and Issue - Time Deposit

PORTFOLIO MANAGEMENT SYSTEM

SLG Safe V0.11.11.0

Home Rate Management Reports Help Contact Us Logout

07/01/2009 02:08 PM EDT

Subscription for Purchase and Issue - Time Deposit

Owner **Trustee** Funds for Purchase ACH Institution ACH Instructions Subscriber Viewer Securities Review

ABA Routing Number

Bank Name

Address Line 1

Line 2

Line 3

City

State

Zip Code -

Contact Name

Telephone

Fax

E-mail

Bank Reference Number

Enter the bank information here. It is recommended to include full contact information. Trustee information is not required to complete a subscription, appointing a trustee to transact with us is optional.

Clear Rate Table Applied Cancel

Local intranet 100%

Entering a Time Subscription into SLGSafe

The screenshot shows a web browser window displaying the SLGSafe v0.11.11.0 application. The browser's address bar shows the URL: /GA-SZ/jsp/subscription.jsf. The application header includes the SLGSafe logo (PORTFOLIO MANAGEMENT SYSTEM) and the version number. A navigation menu contains buttons for Home, Rate Management, Reports, Help, Contact Us, and Logout. The current page title is "Subscription for Purchase and Issue - Time Deposit". Below the navigation menu, there are tabs for "Owner", "Trustee", "Funds for Purchase" (which is selected), "ACH Institution", "ACH Instructions", "Subscriber", "Viewer", "Securities", and "Review". The main content area is titled "Financial Institution Transmitting Funds for Purchase" and contains several input fields: ABA Routing Number, Bank Name, Contact Name, Telephone, Fax, and E-mail. At the bottom of this section are four buttons: "Same as Trustee", "Clear", "Rate Table Applied", and "Cancel". A callout box with a grey background and rounded corners points to the "Same as Trustee" button. The callout text reads: "Notice the button at the bottom of the screen that reads, 'Same as Trustee.' If the bank information of the bank managing the ACH payments for this issue is the same as the Trustee information you just entered, you can hit this button and the system will automatically fill in that information. If it is not, you can enter the information normally." At the bottom of the page, there are several links: "Freedom of Information Act", "Law & Guidance", "Privacy & Legal Notices", "Website Terms & Conditions", "Accessibility", and "Data Quality". The browser's status bar at the bottom shows "Local intranet" and "100%".

File Edit View Favorites Tools Help

TD Subscription for Purchase and Issue - Time Deposit

SLGSafe v0.11.11.0

OMB: No:1535-0092

Home Rate Management Reports Help Contact Us Logout

07/01/2009 02:12 PM EDT

Subscription for Purchase and Issue - Time Deposit

Owner Trustee **Funds for Purchase** ACH Institution ACH Instructions Subscriber Viewer Securities Review

Financial Institution Transmitting Funds for Purchase

ABA Routing Number

Bank Name

Contact Name

Telephone

Fax

E-mail

Same as Trustee Clear Rate Table Applied Cancel

Notice the button at the bottom of the screen that reads, "Same as Trustee." If the bank information of the bank managing the ACH payments for this issue is the same as the Trustee information you just entered, you can hit this button and the system will automatically fill in that information. If it is not, you can enter the information normally.

[Freedom of Information Act](#) | [Law & Guidance](#) | [Privacy & Legal Notices](#) | [Website Terms & Conditions](#) | [Accessibility](#) | [Data Quality](#)

/GA-SZ/jsp/subscription.jsf Local intranet 100%

Entering a Time Subscription into SLGSafe

File Edit View Favorites Tools Help

TD Subscription for Purchase and Issue - Time Deposit

SLGSafe v1.3.0.14

OMB No.1535-00

Home Reports Help Contact Us Logout

04/19/2011 02:16 PM EDT

Subscription for Purchase and Issue - Time Deposit

Owner Trustee Funds for Purchase **ACH Institution** ACH Instructions Subscriber Viewer Securities Review

ABA Routing Number

Bank Name Big Bank

Address Line 1 123 Main Street

Line 2

Line 3

City Smallville

State WV

Zip Code 26101 -

Contact Name Betty Jones

Telephone 304-222-2323

Fax 304-222-2424

E-mail bjones@bigbank.com

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/GA-SZ/jsp/subscription.jsf Local intranet 100%

Notice the button at the bottom of the screen that reads, "Same as Trustee." If the bank information of the bank managing the payments for this issue is the same as the Trustee information you just entered, you can hit this button and the system will automatically fill in that information. If it is not, you can enter the information manually.

Entering a Time Subscription into SLGSafe

The screenshot shows a web browser window with the address bar displaying "Subscription for Purchase and Issue - Time Deposit". The page header includes the SLGSafe logo (PORTFOLIO MANAGEMENT SYSTEM) and the version "SLGSafe v0.11.11.0". The OMB number "1535-0092" is also present. A navigation menu contains links for Home, Rate Management, Reports, Help, Contact Us, and Logout. The current date and time are "07/01/2009 03:47 PM EDT".

The main content area is titled "Subscription for Purchase and Issue - Time Deposit" and features a tabbed interface with the following tabs: Owner, Trustee, Funds for Purchase, ACH Institution, **ACH Instructions** (selected), Subscriber, Viewer, Securities, and Review.

The "ACH Instructions" tab contains the following form fields:

- ABA Routing Number**: [Empty text box]
- Account Name**: [Text box containing "Big School ISD"]
- Account Number**: [Text box containing "0101010101"]
- Account Type**: Radio buttons for "Checking" (selected) and "Savings".

Below the form fields are three buttons: "Clear", "Rate Table Applied", and "Cancel".

A grey callout box on the right side of the form contains the text: "If you do not use a template, enter the ACH instructions here. To learn more about templates, visit www.slg.gov and click on the SLGSafe link under Applications and Programs."

At the bottom of the page, there are several links: [Freedom of Information Act](#), [Law & Guidance](#), [Privacy & Legal Notices](#), [Website Terms & Conditions](#), [Accessibility](#), and [Data Quality](#).

The browser's status bar at the bottom shows "Local intranet" and "100%".

Entering a Time Subscription into SLGSafe

The screenshot displays the SLGSafe v0.11.11.0 web application interface. The browser address bar shows the URL "TD Subscription for Purchase and Issue - Time Deposit". The page header includes the SLGSafe logo (PORTFOLIO MANAGEMENT SYSTEM) and the version number "SLGSafe v0.11.11.0". The OMB number "OMB: No:1535-0092" is also visible. A navigation menu contains links for Home, Rate Management, Reports, Help, Contact Us, and Logout. The current date and time are "07/01/2009 03:48 PM EDT".

The main content area is titled "Subscription for Purchase and Issue - Time Deposit" and features a tabbed interface with the following tabs: Owner, Trustee, Funds for Purchase, ACH Institution, ACH Instructions, **Subscriber**, Viewer, Securities, and Review. The "Subscriber" tab is active, displaying the following information:

- LDAP Username:** Customer1
- ABA/TIN:**
- Organization Name:** BPD
- Address Line 1:** 100 Main St
- Line 2:**
- Line 3:**
- City:** Chicago
- State:** IL
- Zip Code:** 12345
- Contact Name:** SLGS User9
- Telephone:**
- Fax:**
- E-mail:**

At the bottom of the form, there are buttons for "Clear", "Rate Table Applied", and "Cancel".

A callout box on the right side of the page contains the following text:

The subscriber information is automatically entered into the subscription when you click on the Time Deposit link. This information cannot be changed. It simply records who entered the subscription initially.

The browser status bar at the bottom shows "Done" and "Local intranet" with a zoom level of 100%.

Entering a Time Subscription into SLGSafe

The screenshot displays the SLGSafe web application interface. At the top left is the SLGSafe logo with the text 'PORTFOLIO MANAGEMENT SYSTEM'. Below the logo are 'Home' and 'Reports' navigation buttons. A grey callout box contains the following text: 'A Viewer is any institution that may not have access to the subscription or issued case but may need information from the case, such as a Statement of Account. If that institution has SLGSafe access, you can enter their ABA or TIN and name on this tab. Click on Add Viewer and they will have view-only access. They will not be able to alter account information or request a redemption. Only the State and Local or Trustee has the ability to perform these tasks.' To the right of the callout, the text 'OMB No.1535-0092' is visible. Below the callout, the page title 'Subscription for Purchase and Issue - Time Deposit' is shown. A navigation bar includes tabs for 'Owner', 'Trustee', 'Funds for Purchase', 'ACH Institution', 'ACH Instructions', 'Subscriber', 'Viewer' (highlighted in green), 'Securities', and 'Review'. Under the 'Viewer' tab, there are columns for 'ABA/TIN' and 'Organization Name', and a 'Remove Viewer' button. Below this is an 'Add a Viewer' section with input fields for 'ABA', 'TIN', and 'Organization Name', and buttons for 'Add Viewer', 'Clear', 'Rate Table Applied', and 'Cancel'. At the bottom of the page, there are links for 'Freedom of Information Act', 'Law & Guidance', 'Privacy & Legal Notices', 'Website Terms & Conditions', 'Accessibility', and 'Data Quality'. The browser's address bar shows 'Local intranet' and the page is zoomed to 100%.

File Edit View Favorites Tools Help

Subscription for Purchase and Issue - Time Deposit

SLGSafe
PORTFOLIO
MANAGEMENT
SYSTEM

Home Reports

OMB No.1535-0092

01/19/2011 03:42 PM EST

Subscription for Purchase and Issue - Time Deposit

Owner Trustee Funds for Purchase ACH Institution ACH Instructions Subscriber **Viewer** Securities Review

ABA/TIN Organization Name

Remove Viewer

Add a Viewer

ABA TIN Organization Name

Add Viewer Clear Rate Table Applied Cancel

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Entering a Time Subscription into SLGSafe

File Edit View Favorites Tools Help

Subscription for Purchase and Issue - Time Deposit

SLGSafe v1.2.5.23

OMB No.1535-0092

Home Reports Help Contact Us Logout

01/19/2011 03:42 PM EST

Subscription for Purchase and Issue - Time Deposit

Owner Trustee Funds for Purchase ACH Institution ACH Instructions Subscriber **Viewer** Securities Review

ABA/TIN	Organization Name
<input type="checkbox"/>	

[Remove Viewer](#)

Add a Viewer

ABA TIN Organization Name

[Add Viewer](#) [Clear](#) [Rate Table Applied](#) [Cancel](#)

[Freedom of Information Act](#) | [Law & Guidance](#) | [Privacy & Legal Notices](#) | [Website Terms & Conditions](#) | [Accessibility](#) | [Data Quality](#)

/GA-SZ/jsp/s.subscription.jsf Local intranet 100%

In order to delete a viewer, check the box beside the institution's information and hit the remove viewer button. You can add and delete viewers at any time.

Entering a Time Subscription into SLGSafe

Here is where you enter the specific security information. Notice the buttons below the table. You can remove a security from the list, add more to the list, look at the rate table applied, clear the list, cancel the list, and validate the information. Validate will test the information you have entered against the regulations to make sure the information is correct according to the regulations. For instance, if you enter an interest rate higher than the highest rate allowed, you will see an error telling you to enter a lower rate.

Leaving this screen will also run the edits. The system will prompt you to check the interest rates again if any rate you entered is less than the highest allowable rate. To continue, verify the rates you entered and choose Yes.

	Security Number	Security Type	Principal Amount	Interest Rate	Maturity Date	1st Interest Payment Date	Security Description
<input type="checkbox"/>	1		1000.00	0.00 %	10.31.09		
<input type="checkbox"/>	2			%			
<input type="checkbox"/>	3			%			
<input type="checkbox"/>	4			%			
<input type="checkbox"/>	5			%			
<input type="checkbox"/>	6			%			
<input type="checkbox"/>	7			%			
<input type="checkbox"/>	8			%			
<input type="checkbox"/>	9			%			
<input type="checkbox"/>	10			%			
<input type="checkbox"/>	11			%			
<input type="checkbox"/>	12			%			
<input type="checkbox"/>	13			%			
<input type="checkbox"/>	14			%			
<input type="checkbox"/>	15			%			

If you are uploading an XML file from a vendor's software, either type in the location of the file or click Browse, which will allow you to browse your computer for the file. Once you select the file, hit the UPLOAD button. The securities will automatically fill in the Schedule of Securities table. Check with your vendor to see if this feature is available with their municipal securities software. Fiscal Service can provide the file specifications to the vendor upon request. If you receive the error message, "XML file is invalid", check to make sure the date format of each security is Year-Month-Day. For example, 2005-05-30. To answer any questions about uploading this file, visit the SLGSafe Ready to Logon page at http://www.slgs.gov/govt/apps/slgs/slgsafe/slgsafe_logon.htm or call (304) 480-5299.

Entering a Time Subscription into SLGSafe

File Edit View Favorites Tools Help

TD Subscription for Purchase and Issue - Time Deposit

Home Rate Management Reports Help Contact Us Logout

07/01/2009 03:51 PM EDT

Subscription for Purchase and Issue - Time Deposit

Owner Trustee Funds for Purchase ACH Institution ACH Instructions Subscriber Viewer Securities **Review**

Issue Information

Treasury Case Number	Status
Issue Date 07/31/2009	Issue Amount \$1,000.00
Rate Table Date 07/01/2009	

Owner

Taxpayer Identification Number	
Underlying Bond Issue	2002 Refunding
Owner Name	Big School ISD
Address Line 1	1234 School Road
Line 2	Room 1
Line 3	
City	Smallville
State	WV
Zip Code	26101
Contact Name	John Smith
Telephone	304-222-8888
Fax	304-222-9999
E-mail	jsmith@smallville.gov

This page forces you to review the information you entered for the subscription. It is your responsibility to make sure the information is correct before the subscription issues.

Trustee

ABA Routina Number

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Entering a Time Subscription into SLGSafe

File Edit View Favorites Tools Help

TD Subscription for Purchase and Issue - Time Deposit

Home RSS Print Page Tools

Securities

Security Number	Security Type	Principal Amount	Interest Rate	Maturity Date	First Interest Payment Date	Security Description
1	C of I	\$1,000.00	0.000000000%	10/31/2009		
2			%			
3			%			
4			%			
5			%			
6			%			
7			%			
8			%			
9			%			
10			%			
11			%			
12			%			
13			%			
14			%			
15			%			

This is the end of the previous screen. Notice that when you click on the Submit to Treasury tab, you are also agreeing to comply with the terms and conditions of the SLGS regulations. Please make sure to read this and understand your responsibilities. When you are ready to submit the information, click Submit to Treasury. Do not double click on the Submit to Treasury button. That may result in duplicating your subscription.

Submit

By pressing the "Submit to Treasury" button, you agree to comply with the terms and conditions in 31 CFR Part 344 and are certifying that:

- > If you are an agent, you are acting under the issuer's specific authorization.
- > If the issuer is purchasing a SLGS security with any amount received from the sale or redemption (at the option of the holder) before maturity of any marketable security, the yield on such SLGS security does not exceed the yield at which such marketable security was sold or redeemed.
- > If the issuer is purchasing a SLGS security with any amount received from the redemption before maturity of a Time Deposit security (other than a zero interest Time Deposit security), the yield on the SLGS security being purchased does not exceed the yield that was used to determine the amount of redemption proceeds for such redeemed Time Deposit security.

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Entering a Time Subscription into SLGSafe

File Edit View Favorites Tools Help

Subscription for Purchase and Issue - Time Deposit

PORTFOLIO MANAGEMENT SYSTEM

Home Rate Management Reports Help Contact Us Logout

Subscription for Purchase and Issue - Time Deposit

Thank you for subscribing to SLGS. The Bureau has received the following subscription:

Confirmation

Treasury Case Number	2009
Program Type	Time Deposit
Issue Amount	\$1,000.00
Issue Date	07/31/2009
Owner	Big School ISD
TIN	
Rate Table Date	07/01/2009
Status	Complete

Please record this information for your case file.

Timestamp

Confirmation Date	07/01/2009
Confirmation Time	03:52 PM EDT

[Create PDF](#)

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Done Local intranet 100%

Print the confirmation page. It cannot be re-created.

Notice that the system has generated a Case Number. You will use this case number throughout the life of the case. NOTE: The subscription must be completed by 3:00 p.m. on the issue day or the SLG will not issue. If your Confirmation page shows the status as incomplete and the sentence: "Please record this information for your case file. The complete subscription must be received by issue date." That means your subscription is NOT complete. You are expected to finish the subscription in time for issue. If your Confirmation page shows the status as complete and just reads, "Please record this information for your case file," your subscription is complete and ready to issue.


To create a PDF version of this page, click the Create PDF button.

Entering a Time Subscription into SLGSafe

subscriptionConfirmation[1].pdf - Adobe Reader

File Edit View Document Tools Window Help

1 / 1 105% Find



DEPARTMENT OF THE TREASURY
BUREAU OF THE FISCAL SERVICE
PARKERSBURG, WV 26106-1328

SUBSCRIPTION CONFIRMATION
State and Local Government Series Securities

Treasury Case Number:	2009
Program Type:	Time Deposit
Issue Amount:	\$1,000.00
Issue Date:	07/31/2009
Owner Name:	Big School ISD
TIN:	
Rate Table Date:	07/01/2009
Status:	Complete
Confirmation Date:	07/01/2009
Confirmation Time:	03:52 PM EDT

At this point, you have entered the information for a SLGS purchase. For training on how to update a subscription that you have already entered, refer to the section entitled, [View or Update a Subscription Before Issue Date](#).